

Summary of Residual Scores for 'Live' Corporate Risks at HAVANT - 2022-23

<b>Impact</b>	Very High	5					
	High	4		<b>HB22:</b> Emsworth Mill Pond <b>HB19:</b> Contingent liabilities <b>HB10:</b> Corporate project delivery	<b>HB15:</b> Cyber attack: system failure <b>HB20:</b> Havant Local Plan <b>HB7:</b> Property/asset management <b>HB17:</b> Poor commercial contract construction <b>HB13:</b> Capita <b>HB8:</b> IT provision: short term <b>HB21:</b> Broadmarsh sea defence failure	<b>HB6:</b> Medium Term Financial Strategy <b>HB1c:</b> Contractual arrangements: waste <b>HB9:</b> IT provision: long term <b>HB26 - Transition (new)</b> <b>HB27 - data ownership (new)</b>	
	Medium	3	<b>HB18:</b> Local Plan delivery (nutrient neutrality)	<b>HB3:</b> Reputational damage <b>HB5:</b> Breach of state aid rules	<b>HB2:</b> Legislative changes <b>HB14:</b> Cyber attack data loss <b>HB12:</b> Regeneration	<b>HB1a:</b> Contractual arrangements: Capita	<b>HB11:</b> Manpower/ resources
	Low	2		<b>HB4:</b> Customer satisfaction			
	Negligible	1					
				1	2	3	4
			Very Rare	Unlikely	Possible	Likely	Very Likely
<b>Likelihood</b>							

## APPENDIX 4

Risk ID	Risk Title	Type	Category	Identification of areas where there are significant risks	Date Added	Risk Owner	Original Assessment			Planned Mitigation Actions	Mitigation Success Factor	Control Assessment			Direction	Reasons for changes since last review
							1	2	3			1	2	3		
HB6	Medium Term Financial Strategy (MTFS)	FINANCIAL	Economic	The ongoing viability of the authority being able to manage a balanced budget. Current MTFS highlights a shortfall of £12M over the course of the MTFS	28/11/16	s151	4	5	20	1. MTFS is reviewed each year as part of budget setting exercise. Budget challenge sessions held each year to scrutinise future business plans and income/savings. 2. Budget Working Group established to rebase budget during 2022/23 including setting a balanced MTFS 3. Identify and manage in-depth service budgets income/expenditure to rebalance budget	The authority has a balanced budget	4	4	16	▶	2022/23 balanced budget has been agreed. Councillor Budget Working Group established to rebase budget and work towards a balanced MTFS
HB9	IT Provision: long term	SERVICE	Technological	Failure by the IT provider (Capita) to deliver on long term digital vision and aspirations of Council as per the contract in particular the strategy for 'digital by default' and contract requirements	10/04/18	Exec Head Commercial	5	5	25	1. Ensure Capita are held to contractual responsibilities regarding digital strategy 2. Progression of a Digital Strategy for the Council with linkages to IT Capita 3. Deliver changes to the IT infrastructure to enable outcomes e.g. M365 segregation	Clear vision and links to Council aspiration of 'digital by default' Approval of Council's Digital Strategy	4	4	16	▶	Unchanged - M365 project remains stalled
HB26	Transition to standalone Council	SERVICE	Economic Organisational Reputational	The transition to a standalone Council impacts on Corporate Strategy and service delivery	18/03/2022	Exec Head Transition	5	4	20	1) Transition team is established to manage move 2) Transition plan established alongside EHDC transition plan to ensure smooth move to standalone council 3) Regular CEO meetings with EHDC to ensure transition arrangements discussed 4) Regular staff briefings on progress and regular updates to Cabinet/Council including plans for new structure 5) Continued review of service performance to ensure services not impacted	Move to standalone Council is completed to time with minimal disruption to services and low loss of staff	4	4	16		New risk
HB27	Data ownership following separation of council services	GOVERNANCE	Legislative	Linked to HB26 A splitting of services will create a need to split systems and associated data as well as unstructured data residing on the network and within outlook etc. This will require careful oversight, will take time and lead to costs resulting in resources diverted away from transformation projects and a heightened risk of data loss or compromise during migration. Also impacting the Council's ability to respond to information requests.	22/04/2022	Exec Head Transition	4	4	16	Legal instruction to be raised re data sharing agreement Information Management impact assessment Data Protection impact assessment	Costed, resourced, data migration plans in line with service design requirements	4	4	16		New risk
<b>Significant 'standing' (unlikely to ever go away) strategic risks to the council</b>																
HB1	Contractual Arrangements	FINANCIAL	Organisational	Risk of our contractors failing to deliver all/part of the contract leading to non delivery of service(s) to our residents. Early termination of all or part of the Norse SE JV by one of the 3 parties due to performance and/ or financial issues. Failure of the Norse SE JV to improve performance and financial management due to inadequate support.	01/09/16	Exec Head Commercial	5	5	25	<u>Environmental Services Service and Delegation Agreement</u> 1) NSE Board regularly meets to review current performance 2) Key performance indicators in place and being monitored for waste operation 3) Provision of expert financial and operational support to review accounts and co-develop a detailed Business Plan for 2022 and beyond. 4) Strengthened JV Liaison Team and recruitment to cover vacancies. 5) Risk based approach to JV Liaison. 6) Exit strategy 7) Review of Governance	Contract delivers as per cost and performance. Previous years accounts and current budget agreed; monthly budget monitoring and forecasting satisfactory. Business Plan produced in line with Service Agreement requirements.	4	4	16	▶	Main services stabilised after 2021 failures. Business Plan drafted and contains measures to address key risks ie staffing, vehicles and financial controls.